

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
17022

In Re Application Of: Wen-Chung Chu et al.
JAN 14 2004

Serial No.
10/662,167

Filing Date
September 10, 2003

Examiner
Unassigned

Group Art Unit
Unassigned

Title: **AROMATIC DIAMINE DERIVATIVES, THE PREPARATIONS THEREOF, AND ALIGNMENT FILM MATERIALS CONTAINING SAME FOR LIQUID CRYSTAL DISPLAY CELL**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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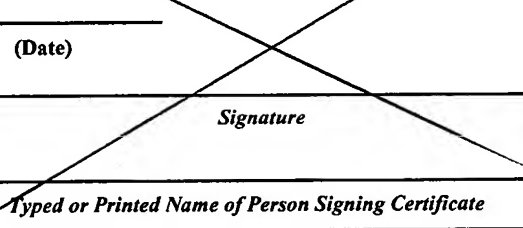
**AROMATIC DIAMINE DERIVATIVES, THE PREPARATIONS THEREOF, AND ALIGNMENT
MATERIALS CONTAINING SAME FOR LIQUID CRYSTAL DISPLAY CELL**

Payment of Fee

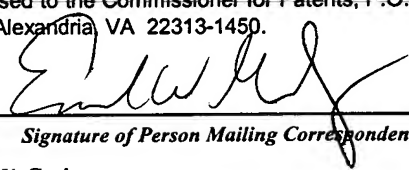
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013
as described below.
- ☐ Charge the amount of _____
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I certify that this document and fee is being deposited on 01/12/04 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

_____ Signature of Person Mailing Correspondence
Edward W. Grolz
_____ Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by
deposit account.

Dated: January 12, 2003

Edward W. Grolz
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Garden City, New York 11530
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cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Wen-Chung Chu et al.

Examiner: Unassigned

Serial No: 10/662,167

Art Unit: Unassigned

Filed: September 10, 2003

Docket: 17022

For: AROMATIC DIAMINE DERIVATIVES,
THE PREPARATIONS THEREOF, AND
ALIGNMENT FILM MATERIALS
CONTAINING SAME FOR LIQUID
CRYSTAL DISPLAY CELL

Dated: January 12, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

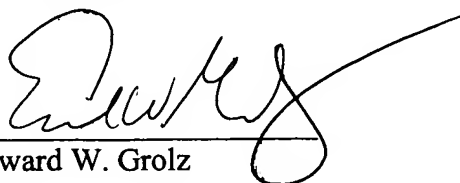
Applicants, in order to meet their continuing duty of disclosure, set forth at 37 C.F.R. §1.56, submit those additional references which they deem pertinent to the claims of the present application.

The relevant references are European Patent Application 0 604 885 A1 and an

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, Virginia 22313-1450, on January 12, 2004.

Dated: January 12, 2004

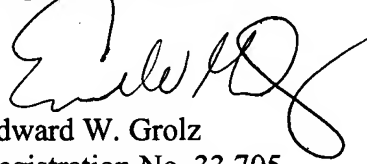

Edward W. Grolz

English translation of ROC Patent Publication No. 244953.

The applicants desire that the aforementioned references be made of record in the file of the present application. To that end they enclose completed Form PTO-1449 wherein these references are set forth. In addition, copies of the references are enclosed. Applicants request that the examiner initial these references on completed Form PTO-1449 and make them of record in the file of the present application.


The instant Information Disclosure Statement is filed in accordance with the schedule set forth under 37 C.F.R. §1.97(b). An Information Disclosure Statement filed under this rule need be accompanied by neither certification nor fee.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Edward W. Grolz', with a large, stylized flourish extending from the end of the signature.

Edward W. Grolz
Registration No. 33,705

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MB/EWG:ml

<div style="text-align: center;">  INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> </div>	Docket Number (Optional) 17022	Application Number 10/662,167
	Applicant(s) Wen-Chung Chu et al.	
	Filing Date September 10, 2003	Group Art Unit Unassigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		0 604 885 A1	07/06/94	EPO				
		244953	04/11/95	China			✓	

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.